

FTA

FEDERAL TRANSIT ADMINISTRATION

Tribal Transit Program Updates from FTA



Wisconsin Tribal Transit Peer Exchange
April 2019



U.S. Department of Transportation
Federal Transit Administration

Contents

- Overview of Tribal Transit Program
- News and Updates
- Transit Asset Management (TAM) Overview
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- Q & A



5311 Tribal Transit Program

- Eligible Recipients: Federally Recognized Tribes
- Eligible Activities:
 - **Capital** Acquisition, Construction, and Improvement of public transit infrastructure, facilities, and equipment
 - **Operating** Costs directly related to systems operations, including fuel, driver & dispatcher salaries and benefits, service agreements
 - **Planning** Developing transit plans and programs, pre-development studies, evaluations, and data exchange
 - **Administrative** Salaries and benefits, insurance and facilities overhead (Indirect Costs funded at BIA approved rate)

5311 Tribal Transit Program

- FTA's Tribal Transit Program allows grantees to coordinate and assist in regularly providing meal delivery service for homebound individuals, if the delivery service does not conflict with providing public transportation service or reduce service to public transportation passengers. Learn more at <https://www.transit.dot.gov/ccam/>

5311 Tribal Transit Program

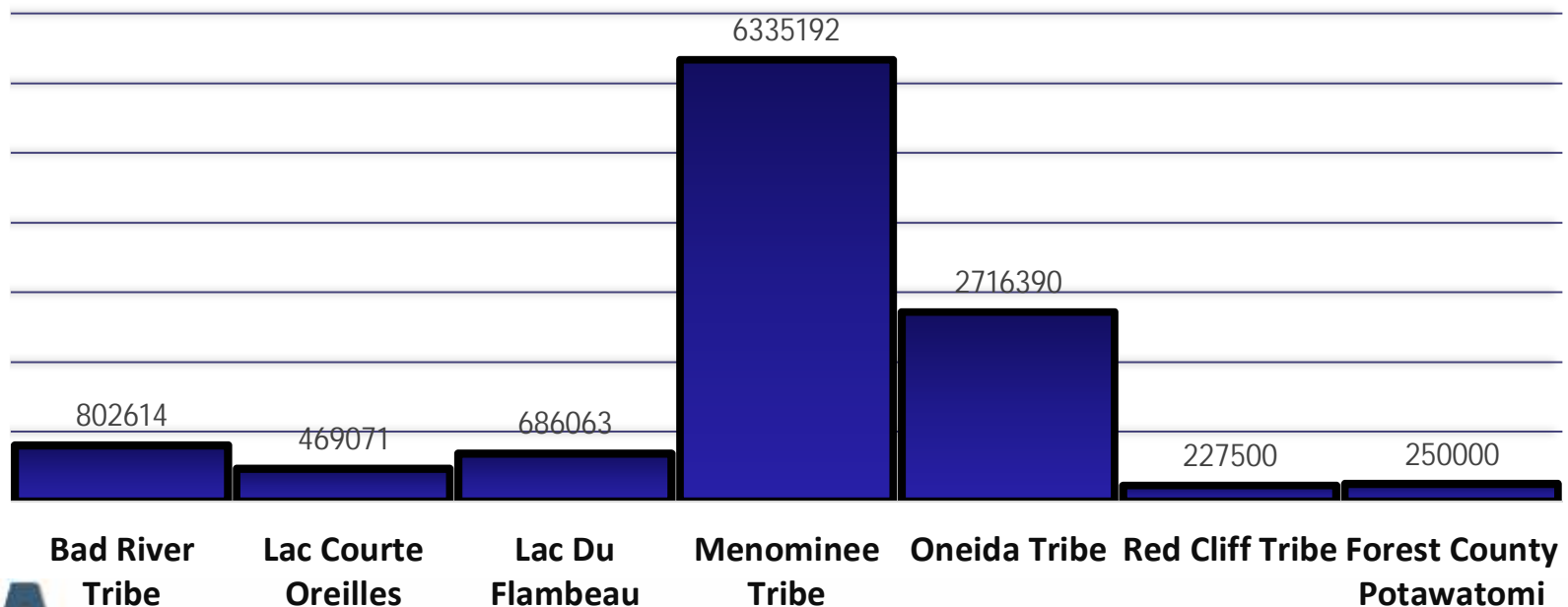
- Formula Funds (\$30M) Apportioned to 126 Tribes/Tribal Agencies (FY19) with Existing Transit Service
 - No Local Match Required
- Discretionary Funds (\$5M) awarded competitively
 - NOFO published in the federal register with directions on how to apply. Existing or Proposed Transit Service required.
 - 10% Local Match required for capital and operating projects (No local match required for Planning projects)

5311 Tribal Transit Program

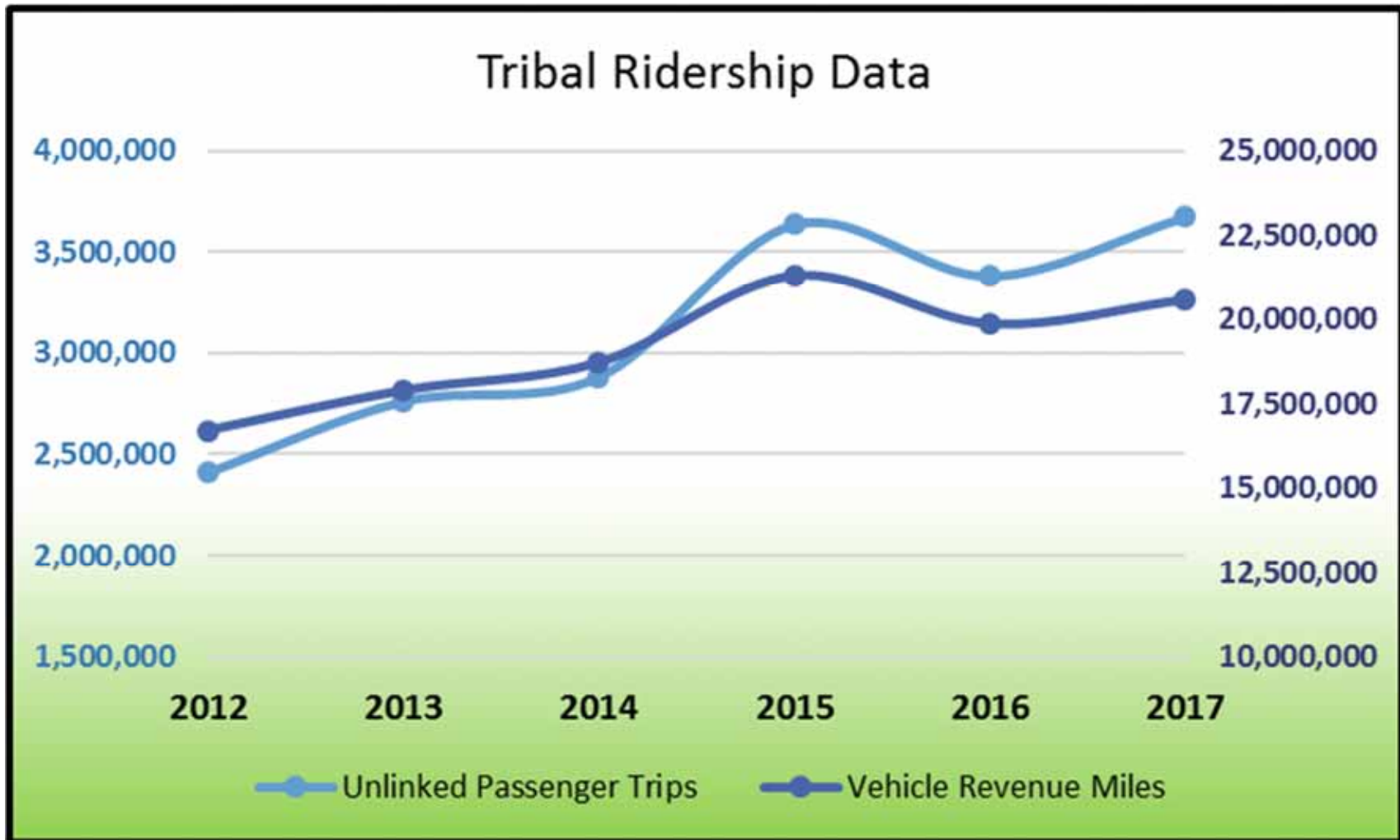
- Funds through the Tribal Transit Program are available for the Fiscal Year allocated plus two years. (FY2017 funds lapse in September, 2019)
- The formula funding amount allocated to a Tribe is based on Vehicle Revenue Miles (VRM) reported to the National Transit Database (NTD) over a two year period. Additional formula funding can be allocated to Tribes with very high VRM and/or significant low-income populations in Tribal areas.

Wisconsin FTA Tribal Grantees

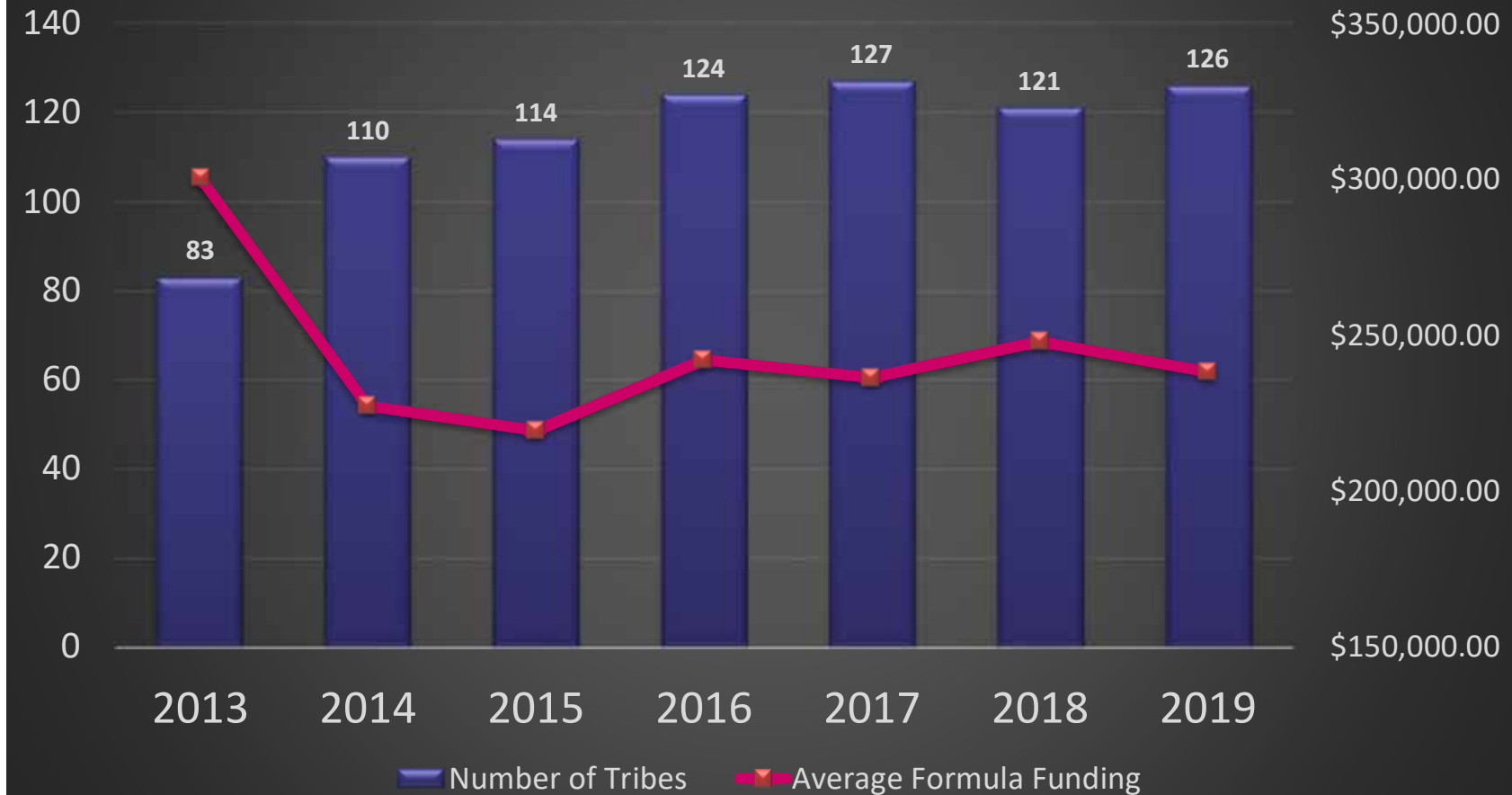
- In the past 5 years, Tribes in Wisconsin have been awarded more than \$11 million in direct FTA Funding for operations, bus and van purchases, and facilities.



Tribal Ridership Data



Tribal Transit Formula Program



Other programs...

- State Pass-Through...
 - 5310 Enhanced Mobility Program for Seniors and Persons with Disabilities (+innovative projects)
 - RTAP
 - STBG Flex Funds (FHWA)
- 5339 Bus and Bus Facilities Competitive Program
- Major Capital Programs/BUILD Grants
- **START PLANNING EARLY!!**
 - Contact FTA to discuss projects well ahead of grant deadlines, procurement, incurring costs, etc.

Latest Competitive Awards

- FY18 5339 Bus and Bus Facilities:
 - The **Menominee Indian Tribe of Wisconsin** will receive \$180,000 to purchase new buses. The project will replace buses that have exceeded their useful life
- FY18 5311 Tribal Transit Competitive Program:
 - The **Bad River Band of Lake Superior Chippewa Tribe** will receive \$41,443 to replace and renovate aging bus shelters, improving safety for passengers.
 - The **Menominee Indian Tribe of Wisconsin** will receive \$90,000 to purchase buses to replace vehicles that have exceeded their useful life, expanding access to jobs, school, healthcare and other government services throughout 30 counties.

Other News and Updates

- Buy America domestic content requirement for vehicle procurement is now 65%, will rise to 70% in October 2019
- Small Purchase threshold now at \$250,000
 - Micro-purchase threshold raised to \$10,000
 - Buy America waiver remains at \$150,000
- New Drug and Alcohol Rules:
 - Effective January 1, 2019, the drug testing rate increased from 25 percent to 50 percent of covered employees for employers subject to FTA's drug and alcohol regulation.

What is Transit Asset Management?

- Transit Asset Management (TAM) uses the condition of assets **to guide the prioritization** of funding for transit properties in order to keep our transit networks in a State of Good Repair (SGR):
 - *Condition in which a capital asset operates at a full level of performance.*
- Plan Coordination
 - Tribal Transit provider may participate in a single Group Plan or develop its own TAM Plan
 - A Group Plan participant must collaborate with the sponsor in the development of the plan

TAM Plans

- Group Plans compiled by a sponsor
 - State DOT or Designated/Direct Recipient
- **Each participant designates an Accountable Executive**
- TAM Plan Elements for Tribal Providers:
 - Inventory of Capital Assets
 - Condition Assessment
 - Decision Support Tools
 - Investment Prioritization

Targets for Performance Measures

- Targets will be set annually for each asset class
- Supported by data
 - Most recent condition data
 - Reasonable financial projections
- Targets for Group Plans apply to the group as a whole
- Reported annually to National Transit Database (NTD)
 - www.transit.dot.gov/ntd/ntd-asset-inventory-modules-and-information

TAM Timelines

Items in blue Submitted to NTD

Activity	Initial Due Date	Ongoing Submittal Frequency
Final Rule Effective Date	October 1, 2016	n/a
SGR Targets	<i>Set by 1/1/17</i>	<i>Annually within 4 months of end of provider's FY as part of NTD Report</i>
TAM Plan	October 1, 2018	Every 4 years
Asset Inventory and Condition Reporting*	<i>Within 4 months of end of provider's FY 2018</i>	<i>As part of NTD Report</i>
Narrative Report	<i>Within 4 months of end of provider's FY 2019</i>	<i>Annually within 4 months of end of provider's FY</i>

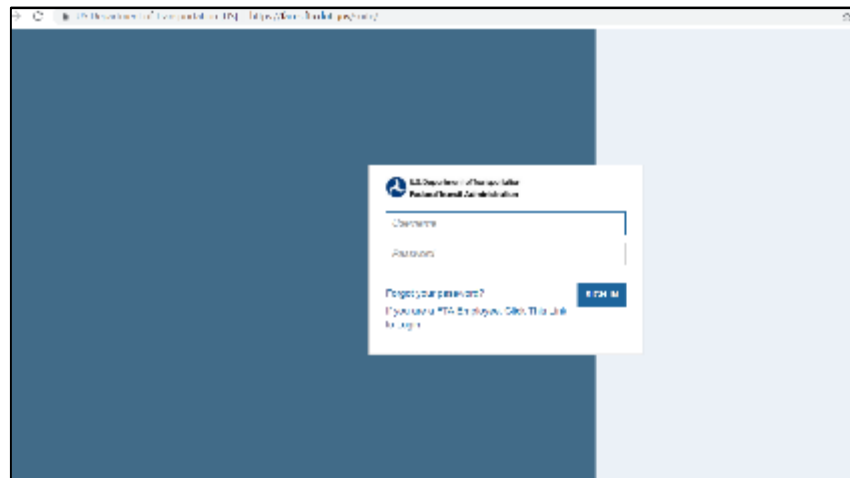
- Only 1/3 of facilities condition assessments are due in NTD report year 2018

Online Resources:

- <https://www.transit.dot.gov/TAM>
- <https://www.transit.dot.gov/TAM/Resources/PeerLibrary>
- <https://www.transit.dot.gov/TAM/gettingstarted/htmlFAQs>
- <https://www.transit.dot.gov/PerformanceManagement>
- <http://www.ntionline.com/>
- <http://www.apta.com/resources/standards/state-of-good-repair>

TrAMS/FACES

- Log in: <https://faces.fta.dot.gov/suite/>



- Resources and User Guides:
<https://www.transit.dot.gov/funding/grantee-resources/teamtrams/trams-guidance-training>

TrAMS: “Must Have” User Roles

- User Manager*
- Official*
- Attorney*
- Developer
- Submitter*
- FFR Reporter and MPR Reporter
- NTD Reporter

*PIN Number/Documentation

TrAMS Tasks

The screenshot shows the 'Tasks' tab selected in the TrAMS interface. The top navigation bar includes 'News', 'Tasks (2)', 'Records', 'Reports', and 'Actions'. On the left, there is a sidebar with the U.S. Department of Transportation Federal Transit Administration logo and navigation options: 'Assigned to Me', 'Sent by Me', 'Starred', 'Grantee Tasks by Category', 'Status' (with 'Open' selected), and 'Deadline' (with 'Overdue' selected). The main content area has a search bar with the text 'Click here to send a task ...'. Below the search bar, two tasks are listed, each with a blue checkmark icon in a box:

- TrAMS GS900 FFR Reporter**
Complete 2016 Quarter 2 Federal Financial Report for Federal Award ID No. FL-2016-001-00
Mar 30, 2016
- TrAMS GS900 MPR Reporter**
Complete 2016 Quarter 2 Milestone Progress Report for Federal Award ID No. FL-2016-001-00
Mar 30, 2016

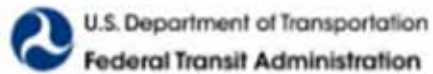
This screenshot shows the 'Federal Financial Report (FFR) | Input FFR Values' form. At the top, a light blue banner contains the text 'You must accept this task before completing it' and two buttons: 'Accept' (highlighted with a red circle) and 'Go Back'. Below the banner, the form title is 'Federal Financial Report (FFR) | Input FFR Values'. The form is divided into two sections: 'Recipient Summary' and 'Award Summary'.

Recipient Summary

Recipient ID	9000	Recipient DUNS	900000000
Recipient Name	Trams Transit Organization	EIN Number	900000000

Award Summary

Federal Award ID Number (FAIN)	FL-2016-001-00	Award Name	RC - Application for MPR and FFR for user guide purposes only
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All ▾

Starred ☆

Applications

TrAMS 1.0 (5)

TrAMS Excel Reports (11)



Application Budget by ALI Report ☆

Generate Application Budget by ALI Report



Application Budget Report ☆

Generate Application Budget Report



Application by Status Report ☆

Generate Application by Status Report



Create Application ☆

Create New Application Record



Deobligation by Funding Source Report ☆

Generate Deobligation by Funding Source Report

TrAMS: New Application

- Title
- Executive Summary
 - Which funding source(s) and amounts? (i.e. FY19 5311 Tribal Transit Program Formula funding of \$100,000)
 - Local share? (Or “100% federal share”)
 - Brief description of projects
 - Brief description of timeline
 - Description of attachments
- Options:
 - Direct Recipient, Indirect cost rate, pre-award authority, E.O 12372

Records / Applications / Award

6741-2019-2 | Application Title



- Summary
- Points of Contact
- Application Control Totals
- Application Projects
- News
- Related Actions**

In-Progress Initial Review / Concurrence Final Concurrence Obligation Executed Active Closed

Recipient Details

Recipient ID
6741

Recipient Name

Application Status Information

Application Number
6741-2019-2

Application Name

Status
In-Progress

Last Modified On
Jan 31, 2019

Last Modified By

Period of Performance Start Date
The start date will be set to the date of the award

Period of Performance End Date
9/29/2020

Application Tasks for Completion	Status
Annual CBAs	MISSING
Executive Summary	COMPLETED
Project(s) Validated	MISSING

Executive Summary

- ⚡ Application Documents
Manage Application Document
- ⚡ **Add Project to Application**
Create Project and Associate to Application
- ⚡ Application Details
Manage Application Details
- ⚡ View-Print Application
Generate View/Print for Application
- ⚡ Validate and Transmit Application
Validate and Transmit Application to FTA for Review
- ⚡ View-Print Budget Change History
Generate View-Print for Budget Revision
- ⚡ Application Review Comments
View and Manage Application / Award Review Comm
- ⚡ Delete Project
Delete Associated Application Project



TrAMS: Application Projects

- How many projects do I need?
- Project Name
- Project Description
- Project Benefits and additional information
- Major Capital Investment Project?
- Project Location
- Project Plan Information

Records / Projects

6741-2019-2-P1 - Project Title



- Summary
- Project Control Totals
- News
- Related Actions

Recipient Details

Recipient ID

6741

Recipient Name

Application Details

FAIN

6741-2019-2

Application Name

Application Status

In-Progress

Temp App Number

6741-2019-2

Project Information

Project Number

6741-2019-2-P1

Project Title

Project Created Date

Nov 28, 2018

Last Modified Date

Nov 28, 2018

Last Modified By

Project Tasks for Completion	Status
Narratives	COMPLETED
Line Items/Milestones	COMPLETED
Environmental Findings	MISSING

- Project Details and Narratives
Manage Project Details and Narrative
- Project Location
Manage Project Place of Performance Information (Congres
- Project Plan Information
Manage Project's Program Plan Information (STIP/UPWPL)
- Budget Activity Line Items and Milestone
Manage Budget Activity Line Item and Milestone
- Environmental Determinations
Manage Project Environmental Determinations
- Validate Project
Validate and Mark Project Complete
- View-Print Application
Generate View/Print for Application
- Project Documents
Manage Project Documents

Project Details/Narrative

Project Start Date

Sep 30, 2018

Will 1% or more of the S307 funds in this application be expended for security purposes?

N/A

TrAMS: Activity Line Items

- Scope Code (600-00 for all Tribal Transit Program funded line items)
- Activity Type
- Line Item #
 - 30.09.01 for Operating (“Up to 50% Federal Share”)
- Extended Budget Description
- 3rd Party Contractors?
- Milestones
 - Start Date and End Date
 - Contract Dates (RFP, Award, Delivery, Completion)

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
No items available				
+Add Item				
<input type="button" value="Save"/> <input type="button" value="Finish"/> <input type="button" value="Cancel"/>				

Project | Add New Budget Activity Line Items (ALIs)

You can add up to ten (10) line items at a time. Click "Save" to save your current line items and add more. Click "Finish" to save your current line items and return to previous form.

New Budget Activity Line Items (ALIs)

Status	Scope Code / Scope Name	Activity Type	Line Item Number / Line Item Name	
	Select Scope	--	--	<input type="button" value="DEL"/>
You must select a scope, activity type and item name for each line item in the grid before saving				
+Add Item				
<input type="button" value="Save"/> <input type="button" value="Finish"/> <input type="button" value="Cancel"/>				

TrAMS: NEPA and Application Documents

- Select Environmental Categories
 - Ask FTA Point of Contact if NEPA Categorical Exclusion applies to project
- You must validate each Project in the Application before you can transmit
- Upload Relevant Documents to an Application
- View-Print Application

TrAMS: Award Processing

- Work with FTA point of contact to review application
- After FTA point of contact makes suggestions, “Validate and Transmit Application”
- FTA conducts initial review process
- After initial review process is complete, “Validate and Submit Application”
- FTA assigns Award ID#, conducts final review, notifies DOL, reserves funds, and completes the Award
- Execute Award
- Post-Award process begins, funds available from ECHO-Web

FTA Contact:

Region 5: Evan Gross

Email: Evan.Gross@dot.gov

Phone: 312-886-1619



<https://www.transit.dot.gov/about/regional-offices/region-5>

Technical Assistance and Training



NationalRTAP.org
888-589-6821



NC4MM.org
866-846-6400



NADTC.org
866-983-3222



NTIOnline.com
848-932-1700

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